

Overnight File Handling and SAS© First Pass File Examination Release 3.4

Version 3 of the first step of the HIPAA Front--End Processing -- commonly referred to as “Overnight” Processing or “First Pass (FP SAS©)” processing -- provides tests related to the following 31 possible error messages. Please note that while a single such reported error rejects a file for further processing and only one such error will be reported per file, it is entirely possible for a file to have multiple such errors. Rejected files are noted on your “Overnight” report, a copy of which is emailed to your contact list and an additional copy placed in your reports sub--directory on the mhub server. Rejected files are also placed in the reject directory on that server as well.

ERROR MESSAGE

Simple Description

1 ZERO BYTES IN FILE

A unix “ls” command shows zero bytes in the file.

2 FILENAME WRONG LENGTH

The file length must be 14 bytes (including the dot). Anything else will be rejected with this error message.

3 FILENAME FIRST LETTER

The filename must begin with either an “A” or an “N”.

4 FRONT WRONG LENGTH

The filename must have an “8.5” format. If the first “word” (i.e., before the dot) is not 8 bytes, the file will be rejected.

5 BACK WRONG LENGTH

The back or second word (i.e., after the dot) must be 5 bytes.

6 TOO MANY FILENAME DOTS!

The file name can contain only 1 dot.

7 BAD FILE SEQ NUMBER

The 8th byte is required to be a number from 1 to 9 representing the number of files submitted by this provider this day. Zero cannot be used as a sequence number.

8 ILLEGAL CHAR IN FNAME

Special characters and other ASCII oddities are not allowed in filenames -- like underline or ampersand, etc.

9 DUP FROM || PROCESS_DATE

A Board may submit a filename only once -- any subsequent submissions by the board will be rejected as duplicates. Note: It does not matter if the original submission was accepted or rejected; a filename may be submitted only once.

10 EOL PROBLEM: || STATUS

Problems with the EOL marker -- this segment delimiter must be a “0A” hexadecimal characters as unix expects. A tilde, or ‘0D0A’x, or ‘0D’x end of line marker will be rejected. The unix EOL marker must be found -- using

ASCII for “look-ahead” mode of FTP file transfer is the best method in normal circumstances to see this accomplished.

11 NO OF 2400 NE NO OF 2300

The number of “CLM” and “SV1” segments must agree – MACSIS has a 1:1 demand relationship for the number of Claims Headers (Loop 2300) and Claims Detail Lines 2400 Loops.

12 PAT LOOPS: || PAT_COUNT

In the MACSIS system, the client is always the patient. The X12 4010-837P Implementation Guide (IG) then specified that you cannot code Loop 2000C, Loop 2010CA, etc. If you see this error, you have the number of such Patient Loops coded as is shown.

13 SE COUNT NE LINES READ

The SE-02 number must match the number of data lines and envelopes read -- this is our only check the file transfer process has been complete and we have received all that was expected. Note: You are allowed one blank line after the ISA trailer -- too many vendors proved incapable of making a claim file without an “extra” blank line.

14 MULTIPLE BLANK LINES

More than 1 blank line, or an “inside the file blank line” are not acceptable.

15 NO CLAIMS FOUND IN FILE

A surprise to us in our original programming -- we have seen perfectly good 4010-837P envelopes submitted with no accompanying claims information whatsoever.

16 NUMB ST NE SE SEGMENTS

Multiple ST-SE sets are acceptable, but there does need to be a transaction set closing segment for every opening one.

17 NEG/MISS CLM--02 VALUES

One cannot present negative or missing claim level billed amounts.

18 NEG/MISS SV1--02 VALUES

One cannot present negative or missing service line level billed amounts.

19 NEGATIVE COB VALUES

Nor can you have negative Coordination of Benefits amounts. These edits were added as a function of some truly creative submission files.

- 20 NPI: BAD FORMAT** The Provider NPI, NM1-09 in Loop 2010AA of an “N” file must be 10 bytes long. All NPI analyses are performed only on “N” files.
- 21 NPI: UNKNOWN** The Provider NPI was not found in the master copy of PROVF information.
- 22 NPI: UPI NOMATCH** The Provider NPI matched PROVF, but the accompanying UPI did not match PROVF.
- 23 NPI: UNAUTH.** Provider NPI found which matches PROVF but that Provider is not listed as having passed Tier 2 testing i.e., “not live”.
- 24 REF1G: MISSING OR BAD** UPI as provided in Loop 2010AA*REF*1G-02 of an “A” file does not have required 12 byte format.
- 25 REF1G: UNKNOWN** UPI as provided in “A” file is not found in master copy of PROVF information.
- 26 REF1G: UNAUTHORIZED** UPI as correctly defined is not listed in “live” category, i.e., is not shown as having passed Tier 2 testing.
- 27 UPI: XX MISSING** The file declares an NPI file but the NM108 value in 2010AA is not “XX” – this suggests to us that a Pre-NPI file has been submitted with an improper name. This would cause improper handling in XML construction and EDI processing.
- 28 UPI: 24 MISSING** The file name declares this to be Pre-NPI file, but the NM108 value in 2010AA is not “24” as required suggesting this is a min-named NPI file. Such causes considerable problems in EDI and many, many critical errors.
- 29 NPI: NPI/UPI MISMATCH** According to our records, the NPI and UPI in this file do not go together.
- 30 NPI: TAXID MISSING** REF*EI-02 is blank or cannot be found in an “N” file.
- 31 UPI: TAXID MISSING** NM1-09 is blank or missing in Loop 2010AA of an “A” file.
- 32 UPI: FORM NOT ACCEPTED** The file name declares this to be a Pre-NPI file, "A" files are no longer accepted. This was effective 7/1/2008.